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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Full

In re application of:

Group Art Unit:

Unknown

Frank H. Walker

Examiner:

Unknown

Serial No.:

10/535,354

Filed:

May 18, 2005

For:

HYDRAULIC REGENERATIVE BRAKING SYSTEM FOR A VEHICLE

Attorney Docket No.: WAF 0116 PUSA

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313/1450 on:

August 11, 2005 Date of Deposit Marc F. Malooley
Name of Person Signing

arc 7. Malartz

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Applicant notes that the three references listed on page two of Form PTO/SB/08B have unknown dates of publication, but are being submitted herewith in compliance with Applicant's duty of disclosure. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Frank H. Walker

Marc F. Malooley

Reg. No. 50,624

Attorney/Agent for Applicant

Date: <u>August 11, 2005</u>

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PTO/SB/08A (10-96) [MODIFIED] Approved for use through 10/31/99. OMB 0651-0031

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Complete if Known titute for Form 1449A/PTO **Application Number** 10/535,354 INFORMATION DISCLOSURE Filing Date May 18, 2005 STATEMENT BY APPLICANT **First Named Inventor** Frank H. Walker Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown 1 WAF 0116 PUSA Sheet of Attorney Docket Number

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	U.S. PATENT Number	DOCUMENT Kind Code (if known		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	0.4-		Foreign Patent Do		Name of Potential or	Date of Publication	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Examiner Initials	Cite No.1	Office <sup>3</sup>	Number ⁴	Kind Code⁵ ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Figures Appear	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of

Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE				
Complete if Known				
Application Number	10/353,354			
Filing Date	May 18, 2005			
First Named Inventor	Frank H. Walker			
Group Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	WAF 0116 PUSA			

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
		PETER A.J. ACHTEN et al., Transforming Future Hydraulics: A New Design Of A Hydraulic Transformer, Abstract, reprint from Proceedings of The Fifth Scandinavian International Conference on Fluid Power, 1997, part 3, Linköping University, 1 page.				
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Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Complete if Known Substitute for Form 1449B/PTO **Application Number** 10/353,354 INFORMATION DISCLOSURE Filing Date May 18, 2005 STATEMENT BY APPLICANT First Named Inventor Frank H. Walker Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown Sheet WAF 0116 PUSA Attorney Docket Number OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Examiner T 2 Initials Cite No.1 PETER A.J. ACHTEN et al., Valving Land Phenomena Of The Innas Hydraulic Transformer, Abstract, 1 page G.E.M. Vael et al., Some Design Aspects of the Floating Cup Hydraulic Transformer, 16 pages PETER A.J.ACHTEN, Designing the impossible pump, pages 1-15

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